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2.2.04 PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 e type a plus sign (+) inside this box --> + U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/982,763 TRANSMITTAL October 18, 2001 **Filing Date FORM First Named Inventor** Ruth E. Bauhahn (to be used for all correspondence after initial filing) 3762 Group Art Unit Not assigned Examiner Name Total Number of Pages in This Submission Attorney Docket Number 011738.00039 ENCLOSURES (check all that apply) After Allowance Communication to **Assignment Papers** Fee Transmittal Form (for an Application) Appeal Communication to Board of Fee Attached ☐ Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Status Letter **Provisional Application** Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Form PTO/SB/08A with foreign Terminal Disclaimer cited references only Express Abandonment Request Request for Refund **Express Mail Certificate Return Receipt Postcard** Supplemental Information CD, Number of CD(s) Disclosure Statement Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53

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Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No. 011738.00039)

In the Application of: Ruth E. Bauhahn et al.

Serial No. 09/982,763

Group Art Unit: 3762

Filed: October 18, 2001 Examiner: Not assigned

For: PATIENT DIRECTED THERAPY MANAGEMENT

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PATENT

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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Dear Sir:

Pursuant to 37 C.F.R. 1.97 and 1.98, the Applicant wishes to make the following references of record in the above-identified application. This Supplemental Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. § 1.56. Copies of the references cited below are enclosed. The references are also listed on the enclosed and completed Form PTO/SB/08A.

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Respectfully submitted, BANNER & WITCOFF, LTD

Dated: January 30, 2004

Binal J. Patel

Reg. No. 42,065

PTO/SB/08A (10-01)
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TO SUPPLICANT STATEMENT BY APPLICANT

C mplete if Known Application Number 09/982,763 Filing Date October 18, 2001 First Named Inventor Ruth E. Bauhahn Group Art Unit 3762 **Examiner Name** Not assigned 011738.00039 Attorney Docket Number

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¹ Applicant's unique citation designation number (optional) . ² See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04.
³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.





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Application of:

Bauhahn et al.

Application No.:

09/982,763

Filing Date:

October 18, 2001

Title:

Patient Directed Therapy Management

Transmitted herewith are the following documents:

X Transmittal Form (1 page) in duplicate

X Supplemental Information Disclosure Statement (3 pages)

X Form PTO/SB/08A (2 pages) with 8 cited foreign references only

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